



# Preclinical Efficacy and Potency Assay Development of an Optimized AAV-hUPF1 Gene Therapy for Amyotrophic Lateral Sclerosis (ALS) and Frontotemporal Dementia (FTD)

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## Developing a Novel Therapeutic Strategy for ALS & FTD

### Amyotrophic Lateral Sclerosis and Frontotemporal Dementia

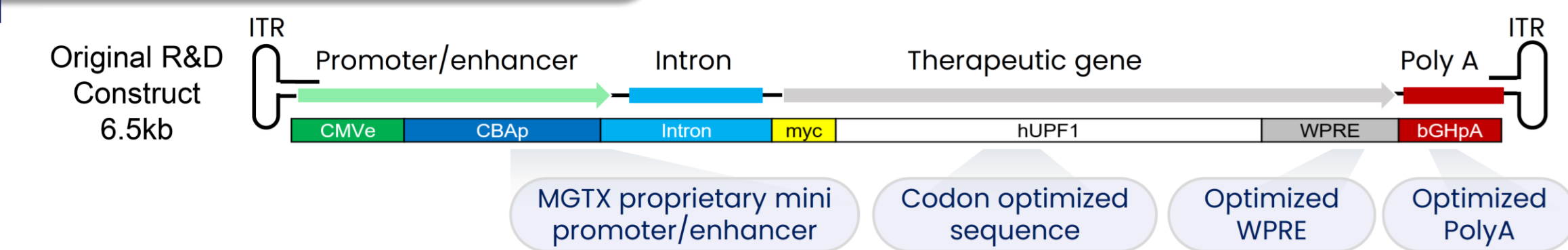
**Amyotrophic lateral sclerosis (ALS)** is a fatal neurodegenerative disease marked by progressive motor neuron loss and muscle control decline. With a life expectancy of 2–5 years post-diagnosis and limited treatment options, there is a critical need for early interventions to improve quality of life and survival.

**Frontotemporal dementia (FTD)** is a neurodegenerative disorder affecting the frontal and/or temporal lobes, leading to changes in behavior, language, and movement. Treatment currently focuses on symptom management with medications and supportive care.

- ▶ Roughly 10% of all ALS cases are familial, 90% of all cases occur sporadically.
- ▶ Over 20 genes, including TARDBP, FUS, C9orf72, and SOD1 have been associated with ALS.
- ▶ In 95% of ALS cases, the RNA-binding protein TDP-43 is misexpressed, mislocalized, and forms aggregates, disrupting gene regulation and mRNA stability.
- ▶ The described TDP-43 phenotype is also observed in 50% of patients diagnosed with FTD.

## The New and Improved AAV-hUPF1

### Vector optimization platform

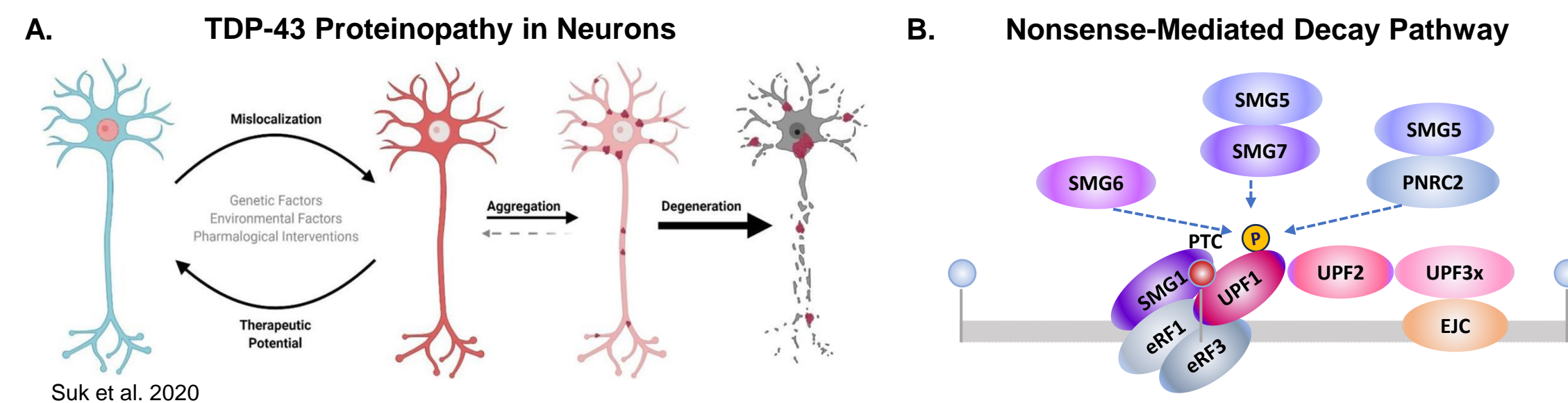


We utilized our in-house Vector Design & Optimization platform to optimize the original academic version of AAV-hUPF1, increase production yield, and increase potency. AAV2Retro, a proprietary capsid, demonstrates favorable transduction of upper and lower motor neurons.

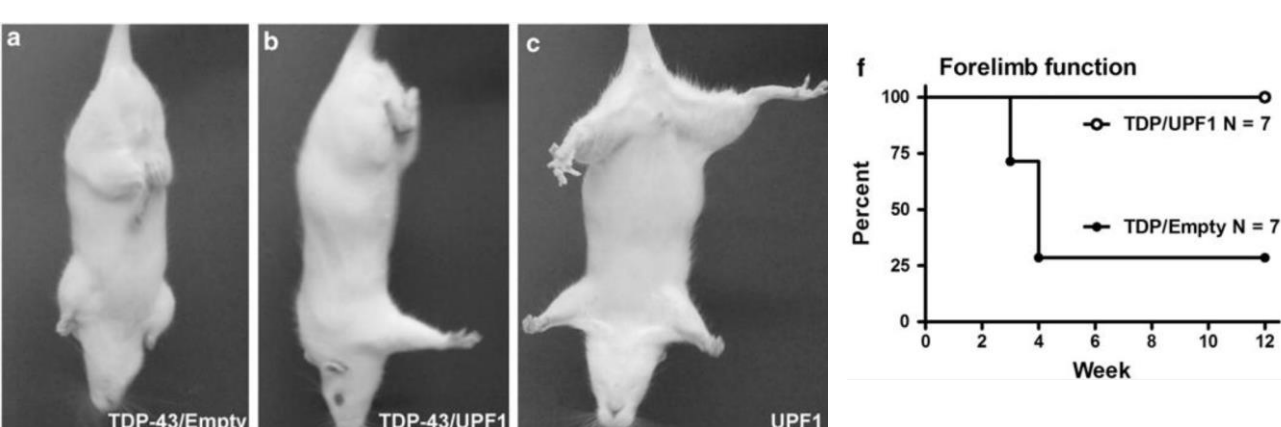
## Functional Evidence for UPF1's Therapeutic Potential

### UPF1 effectively targets TDP-43

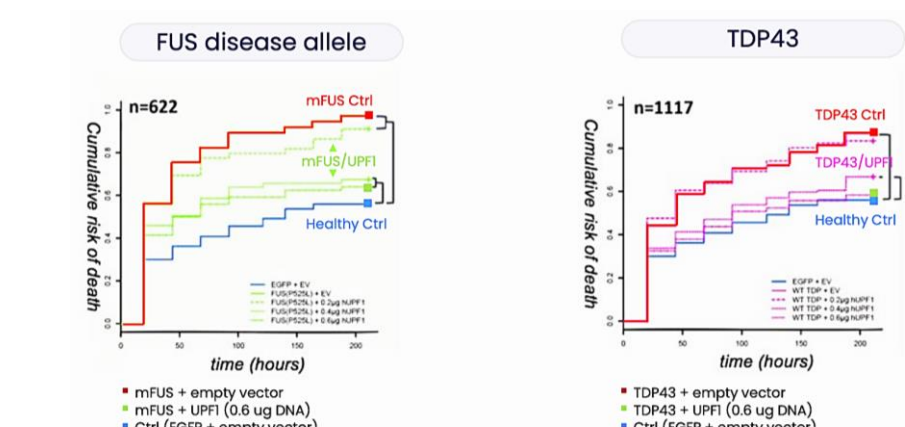
Up-frameshift 1 (UPF1) is a key component of the nonsense-mediated decay (NMD) pathway, a process that removes mRNAs with premature translation termination codons (PTCs) (A). UPF1 has been demonstrated to effectively target alternative forms of TDP-43 which contribute to TDP-43 proteinopathy and reduce neural degradation (B). This makes UPF1 a highly interesting therapeutic candidate with the potential to treat 95% of all patients diagnosed with ALS.



### Rescue in *in-vivo* model



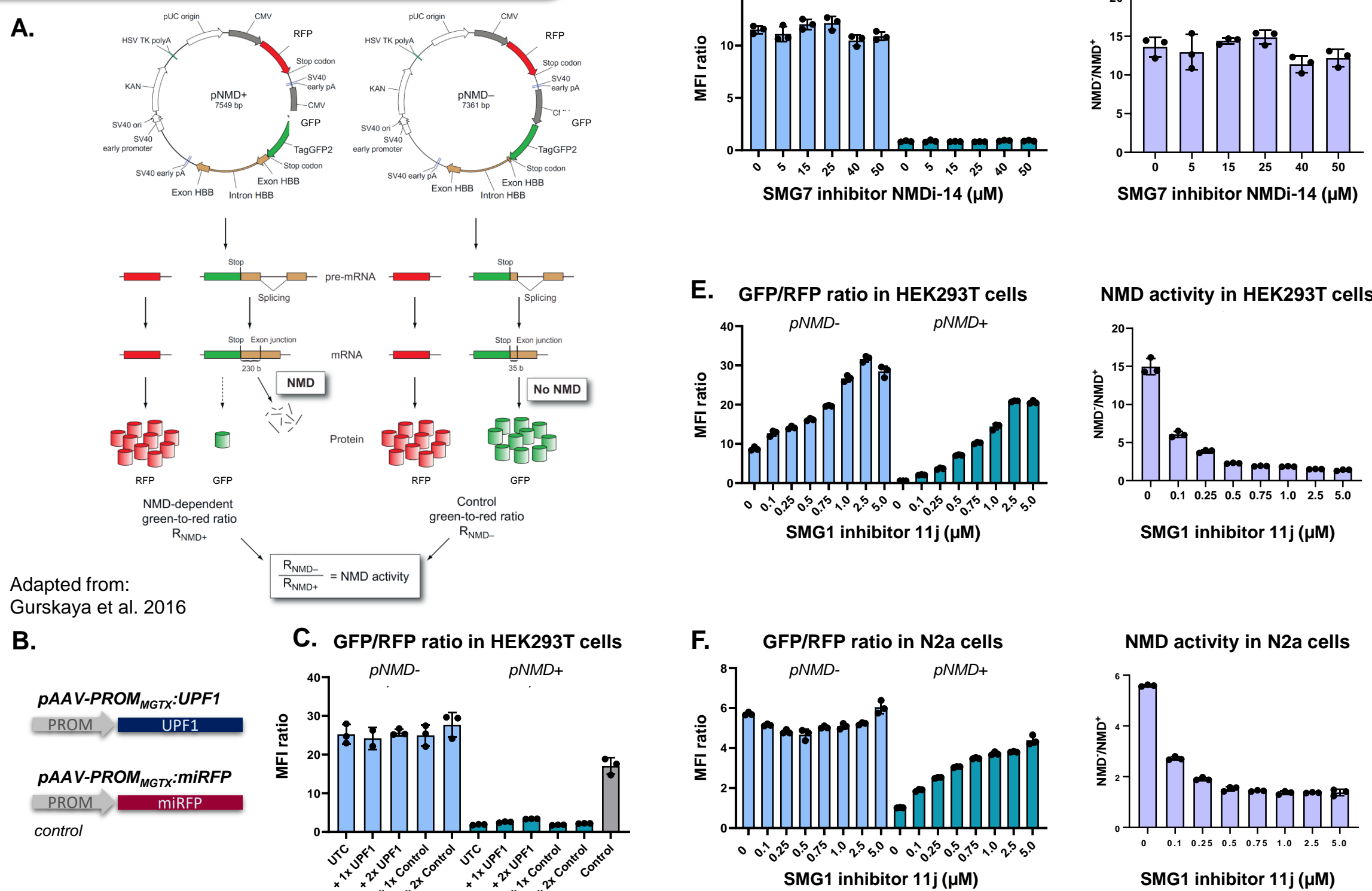
### Rescue in *in-vitro* model



Collaborations with leading ALS researchers validated AAV-hUPF1 in multiple *in-vivo* and *in-vitro* models. Collectively, the data provides strong evidence for the therapeutic potential of AAV-hUPF1 in various genetic backgrounds. Here we show examples of *in-vivo* and *in-vitro* studies demonstrating that AAV-hUPF1 can effectively protect against TDP-43 pathology in a TDP-43 mouse model (Jackson et al. 2015) and in primary rodent neurons (Barmada et al. 2015). For more examples in different genetic backgrounds, please scan the QR-code.

## UPF1 Potency Assay Development: NMD Reporter

### UPF1 regulated NMD activity



UPF1 is a key driver of NMD, making it a very interesting target for developing a potency assay based on NMD activity. Gurskaya et al. established an assay where NMD activity results in quantifiable reductions in GFP levels (A). In a first experiment, co-transfection of HEK293T cells with NMD plasmids and pAAV-hUPF1 did not alter NMD activity, consistent with negative controls (B, C). To reduce the naturally high NMD activity in HEK293T cells, NMD-specific inhibitors were tested: NMDi-14 (SMG7 inhibitor) in combination with the NMD plasmids failed to suppress NMD activity (D). In contrast, NMD plasmids combined with SMG1 inhibitor 11j effectively and dose-dependently reduced NMD activity in HEK293T cells (E). This was confirmed in N2a cells (F).

## Discussion

Our first-in-class gene therapy, AAV-hUPF1, has the potential to target ~95% of ALS patients, including both familial and sporadic cases, as well as patients with FTD:

- ▶ AAV-hUPF1 was optimized from 6.45 kb to 4.9 kb, improving packaging and targeting efficiency.
- ▶ In multiple in vivo and in vitro ALS models, UPF1 consistently protects neurons from cell death across diverse genetic backgrounds.
- ▶ TDP-43-mediated cytotoxicity in neurons was not consistent or sensitive enough as an AAV-hUPF1 potency assay.
- ▶ Our NMD assay shows strong potential for AAV-hUPF1 potency testing. By using the SMG1 inhibitor 11j we can reduce the high NMD activity in HEK293T cells.

## References

- ▶ Barmada et al. *Proc Natl Acad Sci.* 2015
- ▶ Gurskaya et al. *Methods in Enzymology.* 2016
- ▶ Jackson et al. *Gene Ther.* 2015
- ▶ Suk et al. *Molecular Degeneration.* 2020

